

Substitute for form 1449/PTO				<i>Complete if Known</i>	
<b>FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/074,804
Sheet 1 of 12				Filing Date	February 12, 2002
				First Named Inventor	Denis Garcia
				Art Unit	7096
				Examiner Name	Longbit Chai
				Attorney Docket Number	2222.5390001

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
LC	US1	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,796,220	01-01-1989	Wolfe	
	US4	4,827,508	05-02-1989	Shear	
	US5	4,888,800	12-19-1989	Marshall et al.	
	US6	4,972,472	11-20-1990	Brown et al.	
	US7	5,032,979	07-16-1991	Hecht et al.	
	US8	5,144,660	09-01-1992	Rose	
	US9	5,204,897	04-20-1993	Wyman	
	US10	5,301,247	04-05-1994	Rasmussen et al.	
	US11	5,319,705	06-07-1994	Halter et al.	
	US12	5,404,404	04-01-1995	Novorita	
	US13	5,557,765	09-17-1996	Lipner et al.	
	US14	5,606,663	02-25-1997	Kadooka	
	US15	5,671,412	09-23-1997	Christiano	
	US16	5,677,953	10-14-1997	Dolphin	
	US17	5,720,033	02-17-1998	Deo	
	US18	5,748,736	05-05-1998	Mittra	
YAC	US19	5,757,920	05-26-1998	Misra et al.	
	US20	5,765,152	06-09-1998	Ericson	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
LC	FP1	EP 0 950 941 A3	10-20-1999	Fujitsu Limited	
LC	FP2	EP 1 107504 A2	06-13-2001	Benayoun et al.	
LC	FP3	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.	
	FP4				
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Examiner Signature	<i>Longbit Chai</i>	Date Considered	1/16/07
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				Art Unit	7096
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Sheet	2	of	12	Attorney Docket Number	2222.5390001

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LC	US21	5,778,065	07-07-1998	Hauser et al.	
	US22	5,787,173	07-28-1998	Seheide et al.	
	US23	5,790,789	08-04-1998	Suarez	
	US24	5,835,592	11-10-1998	Chang et al.	
	US25	5,857,189	01-05-1999	Riddle	
	US26	5,870,468	02-09-1999	Harrison	
	US27	5,881,287	03-09-1999	Mast	
	US28	5,893,084	04-06-1999	Morgan et al.	
	US29	5,922,073	07-13-1999	Shimada	
	US30	5,944,794	08-31-1999	Okamoto et al.	
	US31	5,953,419	09-14-1999	Lohstroh et al.	
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	US33	5,968,177	10-19-1999	Batten-Carew et al.	
	US34	5,991,879	11-23-1999	Still	
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	US36	6,032,216	02-29-2000	Schmuck et al.	
	US37	6,038,322	03-14-2000	Harkins	
	US38	6,055,314	04-25-2000	Spies et al.	
	US39	6,058,424	05-02-2000	Dixon et al.	
LC	US40	6,061,790	05-09-2000	Bodnar	

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LC	US41	6,105,131	08-15-2000	Carroll	
	US42	6,134,658	10-17-2000	Multerer et al.	
	US43	6,145,084	11-07-2000	Zuili	
	US44	6,185,684 B1	02-06-2001	Pravetz et al.	
	US45	6,205,549 B1	03-20-2001	Pravetz et al.	
	US46	6,226,618 B1	05-01-2001	Downs et al.	
	US47	6,240,188 B1	05-29-2001	Dondeti et al.	
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	US57	6,389,433 B1	05-14-2002	Bolosky et al.	
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LC	US59	6,421,714 B1	07-16-2007	Rai et al.	
LC	US60	6,442,688 B1	08-27-2002	Moses et al.	

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↑	US62	6,466,932 B1	10-15-2002	Dennis et al.	
	US63	6,477,544 B1	11-05-2002	Bolosky et al.	
	US64	6,510,349 B1	01-21-2003	Schnek et al.	
	US65	6,530,020 B1	03-04-2003	Aoki	
	US66	6,530,024 B1	03-04-2003	Proctor	
	US67	6,550,011 B1	04-15-2003	Sims	
	US68	6,567,914 B1	05-20-2003	Just et al.	
	US69	6,571,291 B1	05-27-2007	Chow	
	US70	6,588,673 B1	07-08-2003	Chan et al.	
	US71	6,598,161 B1	07-22-2003	Kluttz et al.	
	US72	6,603,857 B1	08-05-2003	Batten-Carew et al.	
	US73	6,615,349 B1	09-02-2003	Hair	
	US74	6,615,350 B1	09-02-2003	Schell et al.	
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	US76	6,629,243 B1	09-30-2003	Kleinman et al.	
	US77	6,640,307 B2	10-28-2003	Viets et al.	
	US78	6,646,515 B2	11-11-2003	Jun et al.	
↓	US79	6,647,388 B2	11-11-2003	Numao et al.	
<i>Lc</i>	US80	6,718,361 B1	04-06-2004	Basani et al.	

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<i>LC</i>	US81	6,735,701 B1	05-11-2004	Jacobson	
<i>↑</i>	US82	6,738,908 B1	05-18-2004	Bonn et al.	
	US83	6,782,403 B1	08-24-2004	Kino et al.	
	US84	6,801,999 B1	10-05-2004	Venkatesan et al.	
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	US89	6,845,452 B1	01-18-2005	Roddy et al.	
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	US92	6,891,953 B1	05-10-2005	DeMello et al.	
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	US94	6,909,708 B1	06-21-2005	Krishnaswamy et al.	
	US95	6,915,434 B1	07-05-2005	Kuroda et al.	
	US96	6,920,558 B2	07-19-2005	Sames et al.	
	US97	6,931,450 B2	08-16-2005	Howard et al.	
	US98	6,931,530 B2	08-16-2005	Pham et al.	
<i>↓</i>	US99	6,931,597 B1	08-16-2005	Prakash, Nalini J.	
<i>LC</i>	US100	6,938,042 B2	08-30-2005	Aboulhosn et al.	

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	FP37				
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ZC	US101	6,941,355 B1	09-06-2005	Donaghey et al.	
↑	US102	6,941,456 B2	09-06-2005	Wilson	
	US103	6,941,472 B2	09-06-2005	Moriconi et al.	
	US104	6,947,556 B1	09-20-2005	Matyas, Jr. et al.	
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	US106	6,950,936 B2	09-27-2005	Subramaniam et al.	
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	US115	7,003,560 B1	02-21-2006	Mullen et al.	
	US116	7,013,332 B1	03-14-2007	Friedel et al.	
	US117	7,013,485 B1	03-14-2006	Brown et al.	
	US118	7,020,645 B2	03-28-2006	Bisbee et al.	
↓	US119	7,024,427 B2	04-04-2006	Bobbitt et al.	
ZC	US120	7,035,854 B1	04-25-2006	Hsiao et al.	

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		Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>3</sup> (if known)			
	FP46				
	FP47				
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	FP49				
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	FP53				
	FP54				

Examiner Signature	<i>Longbit Chai</i>	Date Considered	11/16/07
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Substitute for form 1449/PTO				<i>Complete if Known</i>	
<b>FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/074,804
Sheet	7	of	12	Filing Date	February 12, 2002
				First Named Inventor	Denis Garcia
				Art Unit	7096
				Examiner Name	Longbit Chai
				Attorney Docket Number	2222.5390001

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		Number-Kind Code <sup>2</sup> (If Known)			
ZC	US121	7,051,213 B1	05-23-2006	Kobayashi et al.	
	US122	7,058,978 B2	06-06-2006	Feuerstein et al.	
	US123	7,073,063 B2	07-04-2006	Peinado	
	US124	7,073,073 B1	07-04-2006	Nonaka et al.	
	US125	7,076,067 B2	07-11-2006	Raike et al.	
	US126	7,076,312 B1	07-11-2006	Law et al.	
	US127	7,076,469 B2	07-11-2006	Schreiber et al.	
	US128	7,076,633 B2	07-11-2006	Tomasov et al.	
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	US130	7,095,853 B2	08-22-2006	Takuya	
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ZC	US139	7,145,898 B1	12-05-2006	Elliott, Isaac K.	
	US140	7,159,036 B1	01-02-2007	Hinchliffe et al.	

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Examiner Signature	<i>Longbit Chai</i>	Date Considered	11/16/07
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<i>LC</i>	US141	7,171,557 B2	01-30-2007	Kallahalla et al.	
	US142	7,174,563 B1	02-06-2007	Brownlie et al.	
	US143	7,178,033 B2	02-13-2007	Garcia	
	US144	7,187,033 B2	03-06-2007	Pendharkar	
	US145	7,185,364 B2	02-27-2007	Knouse et al.	
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	US158	2001/0056550 A1	12-27-2001	Lee	
<i>LC</i>	US159	2002/0010679 A1	01-24-2002	Felsher	
	US160	2002/0031230 A1	03-14-2002	Sweet et al.	

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LC	US161	2002/0035624 A1	03-21-2002	Kim	
	US162	2002/0056042 A1	05-09-2002	Van Der Kaay et al.	
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LC	US180	2003/0074580 A1	04-17-2007	Knouse et al.	

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	FP73				
	FP74				
	FP75				
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	FP80				
	FP81				

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JC	US181	2003/0078959 A1	04-24-2003	Yeung et al.	
↑	US182	2003/0079175 A1	04-24-2003	Limantsev	
	US183	2003/0088517 A1	05-08-2003	Medoff	
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	US195	2004/0068664 A1	04-08-2004	Nachenberg et al.	
	US196	2004/0073718 A1	04-15-2004	Johannessen et al.	
	US197	2004/0098580 A1	05-20-2004	DeTreville	
	US198	2004/0103202 A1	05-27-2004	Hildebrand et al.	
↓	US199	2004/0103280 A1	05-27-2004	Balfanz et al.	
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Examiner Signature	<i>Longbit Chai</i>	Date Considered	1/16/07
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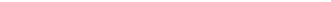
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<i>SL</i>	US201	2004/0193602 A1	09-30-2004	Liu et al.	
<i>SL</i>	US202	2004/0193912 A1	09-30-2004	Li et al.	
<i>SL</i>	US203	2004/0215962 A1	10-28-2004	Douceur et al.	
<i>SL</i>	US204	2004/0243853 A1	12-02-2004	Swander et al.	
	US205	2005/0028006 A1	02-03-2005	Leser et al	
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	US208	2005/0071657 A1	03-31-2005	Ryan	
	US209	2005/0071658 A1	03-31-2005	Nath et al.	
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	US211	2005/0086531 A1	04-21-2005	Kenrich	
	US212	2005/0091484 A1	04-28-2005	Thornton et al.	
	US213	2005/0120199 A1	06-02-2005	Carter	
	US214	2005/0138371 A1	06-23-2005	Supramaniam	
	US215	2005/0177716 A1	08-11-2005	Ginter et al.	
	US216	2005/0198326 A1	09-08-2005	Schlommer et al.	
	US217	2005/0223242 A1	10-06-2005	Nath	
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<i>SL</i>	US219	2005/0273600 A1	12-08-2005	Seeman	
<i>SL</i>	US220	2005/0288961 A1	12-29-2005	Tabrizi	

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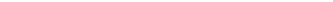
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2222.5390001					

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If Known)			
<i>Le</i>	US221	2006/0005021 A1	01-05-2006	Torrubia-Saez	
<i>Le</i> ↑	US222	2006/0075465 A1	04-06-2006	Ramanathan et al	
<i>Le</i> ↓	US223	2006/0093150 A1	05-04-2006	Reddy et al.	
<i>Le</i>	US224	2006/0230437 A1	10-12-2006	Boyer et al.	
	US225	2007/0006214 A1	01-04-2007	Dubal et al.	
	US226				
	US227				
	US228				
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Substitute for form 1449/PTO				<i>Complete if Known</i>	
<b>FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<i>Application Number</i>	10/074,804
				<i>Filing Date</i>	February 12, 2002
				<i>First Named Inventor</i>	Denis Garcia
				<i>Art Unit</i>	7096
				<i>Examiner Name</i>	Longbit Chai
Sheet	1	of	5	<i>Attorney Docket Number</i>	2222.5390001

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
LL	NPL1	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from <a href="http://dictionary.reference.com/search?q=affect">http://dictionary.reference.com/search?q=affect</a>	
↑	NPL2	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
	NPL3	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL4	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets," U.S. Patent Application No. 10/404,566, filed 03/31/2003.	
	NPL5	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL6	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
	NPL7	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment," U.S. Patent Application No. 10/159,220, filed 05/31/2002	
	NPL8	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
	NPL9	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	
↓ LL	NPL10	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	

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<i>Lc</i>	NPL11	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
<i>↑</i>	NPL12	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine " inventor Thomas, July 13, 2004, 18 pgs.	
	NPL13	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.	
	NPL14	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL15	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL16	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL17	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL18	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL19	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
<i>↓</i> <i>Lc</i>	NPL20	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.	

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ZC	NPL21	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.			
↑	NPL22	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.			
	NPL23	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.			
	NPL24	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.			
	NPL25	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.			
	NPL26	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.			
	NPL27	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.			
	NPL28	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.			
	NPL29	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.			
↓ ZC	NPL30	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.			

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LC	NPL31	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.			
↑	NPL32	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.			
	NPL33	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.			
	NPL34	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.			
	NPL35	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.			
	NPL36	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.			
	NPL37	"Security Options". December 20, 2001. DC & Co. pages 1-2			
	NPL38	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.			
	NPL39	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.			
↓ LC	NPL40	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.			

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<i>LC</i>	NPL41	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.		
<i>LP</i>	NPL42	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.		
	NPL43	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.		
	NPL44	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.		
	NPL45	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.		
	NPL46	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.		
	NPL47	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.		
<i>LC</i>	NPL48	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.		
	NPL49			
	NPL50			

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